



## INFORMATION FOR GIFTING STOCK

### ALASKA NATIVE CLAIMS SETTLEMENT ACT (ANCSA)

The ANCSA Amendments of 1987, allows Gana-A'Yoo, Limited shareholders who own Class A, B, C, and D stock to transfer to a Native or descendent of a Native as a gift while they are living to their child, grandchild, great grandchild, niece or nephew, brother or sister. Relation must be through blood and not simply marriage.

#### What this means:

You CAN GIFT to:	You CANNOT gift to:
Child	Friends
Grandchild	Spouse
Great Grandchild	Grandparents
Niece or Nephew	Aunts or Uncles
Sister or Brother	

#### Things to Consider:

- Gifting stock is a voluntary transfer by living person to another without payment or other considerations.
- Once the stock is transferred, dividends associated with the transferred shares will belong to the person who received the shares.
- Voting rights associated with any shares you transfer will belong to the person who received the shares, and you will no longer be entitled to vote those shares.
- If you give ALL your shares away, you will no longer be a Gana-A'Yoo, Limited shareholder therefore you will not receive any benefits of being a shareholder.
- Gifting shares is irrevocable. Once you gifted stock, you lose all rights to any stock that you gift, and you can not get the stock back.
- The person receiving the stock has the right to will the stock to anyone he/she may choose. Should that person die without a will, his/her stock would not automatically go back to you. Rather, Gana-A'Yoo is required to distribute the shares to that person's heirs in accordance with the Alaska laws of intestate succession and Gana-A'Yoo, Limited's policies.

This packet contains all the necessary information and forms to start the gifting process. If you wish to initiate an inter vivos of stock, please make sure all required documents are properly completed, executed, and submitted to Gana-A'Yoo, Limited. If any documents are missing, incomplete, or improperly executed, we will be unable to proceed with the transfer and your submittal will be returned to you. Please follow the following steps and instructions on the accompanying forms. If you have any questions or need additional forms, please contact Gana-A'Yoo Shareholder Department at (907) 569-9599 or toll free at (877)-853-4070.



## INSTRUCTIONS FOR GIFTING STOCK

In order to document that the person(s) to whom you wish to gift stock are such relatives, you must provide Gana-A'Yoo, Limited copies of the appropriate birth certificate(s) to establish the relationship between you and the recipient(s) of the gift of stock.

### Examples of transfer situations and required birth certificate(s)

#### **Gift to a Child**

- Your child's birth certificate

#### **Gift to a Grandchild**

- Your child's birth certificate
- Your grandchild's birth certificate

#### **Gift to a Great Grandchild**

- Your child's birth certificate; and
- Your grandchild's birth certificate; and
- Your great grandchild's birth certificate

#### **Gift to a Niece or Nephew**

- Your birth certificate; and
- Your brother/sister's birth certificate; and
- Your niece/nephew's birth certificate

#### **Gift to a Sister or Brother**

- Your birth certificate
- Your sister/brother's birth certificate

### Check list for required forms:

- Inter Vivos Transfer of Shares Affidavit
- Acceptance of Gifted Stock (If not a minor and for each individual)
- Consent to Appoint as Custodian and Acceptance of Gifted Stock (If a minor and for each individual)
- Copy of Birth Certificate(s) showing relationship to shareholder gifting



INTER VIVOS TRANSFER OF SHARES AFFIDAVIT

I, \_\_\_\_\_, being sworn, certify and affirm the following:

I am a shareholder in Gana-A'Yoo, Limited. The last four (4) digits of my social security number is \_\_\_\_\_: my date of birth is \_\_\_\_\_.

My current address is: \_\_\_\_\_

My current phone number is: \_\_\_\_\_.

I own \_\_\_\_\_ shares in Gana-A'Yoo, Ltd. Stock Class \_\_ A(GAL) \_\_ B (KYU) \_\_ C(NUL) \_\_ D(KAL)

I understand that I may only give my shares to an Alaska Native or a descendent of an Alaska Native, who is my child, grandchild, great grandchild, niece, nephew, brother, or sister who is related to me by blood or adoption and that is my responsibility to provide the documentation providing relationship.

I authorize Gana-A'Yoo to transfer as an irrevocable gift the number of shares to the recipients(s) shown on the reverse of this document.

I acknowledge that I have received and read the information explaining the consequences of gifting your shares.

I affirm that I have not received anything of value nor was I promised anything of value in compensation for the stock I wish to gift and that I execute this instrument as my free and voluntary act for the purposes expressed in it, and that I am 18 years of age or older, of sound mind, and under no undue influences.

I have read, understand and agree to all the points above and affirm that the information I have provided to Gana-A'Yoo, Limited is true.

Sign: \_\_\_\_\_ Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for State of: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

*\*List of Recipients on opposite side (Up to 5, please state if more than that)*



Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone number: \_\_\_\_\_ Relationship: \_\_\_\_\_ # of shares: \_\_\_\_\_

\*Custodian: \_\_\_\_\_ Address: \_\_\_\_\_

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Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone number: \_\_\_\_\_ Relationship: \_\_\_\_\_ # of shares: \_\_\_\_\_

\*Custodian: \_\_\_\_\_ Address: \_\_\_\_\_

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Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone number: \_\_\_\_\_ Relationship: \_\_\_\_\_ # of shares: \_\_\_\_\_

\*Custodian: \_\_\_\_\_ Address: \_\_\_\_\_

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Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone number: \_\_\_\_\_ Relationship: \_\_\_\_\_ # of shares: \_\_\_\_\_

\*Custodian: \_\_\_\_\_ Address: \_\_\_\_\_

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Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone number: \_\_\_\_\_ Relationship: \_\_\_\_\_ # of shares: \_\_\_\_\_

\*Custodian: \_\_\_\_\_ Address: \_\_\_\_\_

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*\*If recipient is a minor, list names and addresses of legal custodian*



ACCEPTANCE OF GIFTED STOCK

(Recipient Not A Minor)

Full Legal Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

Birth Date: \_\_\_\_\_ Social Security No. \_\_\_\_\_

According to ANCSA, you must be Alaska Native to vote. One of the mail rights and responsibilities of a shareholder is voting.

- I certify I am \_\_\_/\_\_\_  Indian  Eskimo  Aleut
- I certify I am NOT Alaska Native

Are you enrolled to a regional corporation other than Doyon? \_\_\_ YES \_\_\_ NO

If so, to which region? \_\_\_\_\_

I hereby acknowledge receipt of \_\_\_\_\_ shares of stock as a gift from \_\_\_\_\_.

I hereby affirm that I have not transferred or promised anything of value to \_\_\_\_\_ to obtain these shares. I understand that once this stock is transferred to me on the stock records of GANA-A'YOO, LIMITED that I will become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder. Such rights and responsibilities currently include:

1. At the Annual Meeting, I will be entitled to vote the shares I will own from this gift, if I am a Native or a descendant of a Native.
2. I may control the future ownership of this stock in that I may:
  - a. If I am 18 years of age or more, gift the stock to my child, grandchild, great grandchild, niece, nephew, brother or sister who is Alaskan Native or a descendant of a Native;
  - b. Complete a Will so that, upon my death, the stock will be transferred to the heirs I have listed;
  - c. Decide not to complete a Will, or simply forget to complete a Will, with the result that, upon my death, the inheritance laws of the State of Alaska will determine the heirs of my stock.
3. Should the Corporation declare a dividend or distribution, I will receive a certain amount of money calculated by the shares I will own from this gift.

GANA-A'YOO



AN ALASKA NATIVE VILLAGE CORPORATION

GANA-A'YOO, LIMITED  
3035 C STREET  
ANCHORAGE, AK 99503



GANAAAYOO.COM

I have read the above paragraphs and understand the rights and responsibilities associated with this gift of stock. My signature below indicates my acceptance of this gift.

Sign: \_\_\_\_\_ Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for State of: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_



CONSENT TO APPOINT AS CUSTODIAN

(And Acceptance of Gifted Stock for Minor)

Minor's Full Legal Name: \_\_\_\_\_

Minor's Address: \_\_\_\_\_

Birth Date: \_\_\_\_\_ Social Security No. \_\_\_\_\_

According to ANCSA, you must be Alaska Native to vote. One of the mail rights and responsibilities of a shareholder is voting.

- I certify minor is \_\_\_/\_\_\_  Indian  Eskimo  Aleut
- I certify minor is NOT Alaska Native

If so, to which region? \_\_\_\_\_

Custodian's Name: \_\_\_\_\_

Custodian's Address: \_\_\_\_\_

Birth Date: \_\_\_\_\_ Social Security No. \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

- I certify I am \_\_\_/\_\_\_  Indian  Eskimo  Aleut
- I certify I am NOT Alaska Native

Relation to the minor: \_\_\_\_\_

I, \_\_\_\_\_, hereby acknowledge receipt of \_\_\_\_\_ shares of stock as custodian for \_\_\_\_\_, a minor child, a gift from, \_\_\_\_\_ to said minor child. I hereby affirm that neither I nor said minor child have transferred or promised anything of value to \_\_\_\_\_ to obtain these shares. I hereby accept these shares on behalf of said minor child.

Such rights and responsibilities currently include:

1. I hereby consent to my appointment as custodian for the above-named minor child. I authorize GANA-A' YOO, LIMITED to pay me on behalf of the minor child any funds, revenues, dividends, or distributions payable to said minor pursuant to the Articles of Incorporation or Bylaws of the Corporation or applicable law, and to recognize me as the proxy for said minor in all corporate elections to the extent said minor child is entitled to



voting rights. I agree to apply any money or other benefit received by me on behalf of said minor for his/her support, maintenance, education and benefit, and to invest the remainder as would a prudent person.

- 2. I agree to serve as custodian without compensation and agree that I will not use any money or other benefit received by me on behalf of said minor child for my own benefit, except as reimbursement for reasonable expenses incurred for the support, maintenance, education, and benefit of said minor child.
- 3. I agree to comply always with the Alaska Uniform Transfer to Minors Act, A.S. 13.46.010 et seq., as it applies to ANCSA Native Corporation stock.

Sign: \_\_\_\_\_ Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for State of: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_